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10/803,315	03/18/2004	Joshua Fagans	P3285US1 (119-0028US)	5571
61947 7590 06/22/2010 WONG, CABELLO, LUTSCH, RUTHERFORD & BRUCCULERI LLP 20333 Tomball Parkway SUITE 600 HOUSTON, TX 77070				
EXAMINER				
ALVESTIEFFER, STEPHEN D				
ART UNIT		PAPER NUMBER		
2175				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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### Office Action Summary

**Application No.**

10/803,315

**Applicant(s)**

FAGANS, JOSHUA

**Examiner**

Stephen Alvesteffer

**Art Unit**

2175

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 22 February 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-43 and 72-75 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-43 and 72-75 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB-08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Amendment***

This Office Action is responsive to the Request for Continued Examination (RCE) filed February 22, 2010. Claims 1-18, 22-35, 41, and 43 are amended. Claims 44-71 are cancelled. Claims 72-75 are new. Claims 1, 13, 26, and 35 are independent. Claims 1-43 and 72-75 remain pending.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4, 6, 9-16, 18, 21-26, 29-35, 40-43, and 72-75 are rejected under 35 U.S.C. 102(b) as being anticipated by Yamamoto et al. (hereinafter Yamamoto), United States Patent 5,862,252.

**Regarding claim 1**, Yamamoto teaches a method for displaying a representation of at least one image in an application program in a computer having a graphical user interface, comprising:

storing a first image preview and a second image preview of least one image, wherein the first image preview is of a different resolution than the second image preview and wherein an image preview comprises a single complete graphical representation of the at least one image (see Yamamoto column 6 lines 29-39; “a

*storing means for storing a plurality of types of shape data with different resolutions for displaying an object”);*

using the stored first image preview to display a representation of the at least one image in the graphical user interface (see Yamamoto column 6 lines 29-39; *“a deciding means for deciding the resolution of shape data used for the 3-D image display in accordance with a display condition when displaying the object, which is to be displayed, in the form of the 3-D image, and a displaying means for providing 3-D image display by employing the shape data with the decided resolution”*); and

manipulating the representation of the at least one displayed image using the graphical user interface, and while manipulating the representation of the at least one displayed image, using at least the stored second image preview as the representation of the at least one displayed image in the graphical user interface responsive to the manipulation (see Yamamoto column 27 lines 55-67; *“In step S3650, it is determined whether the rotation or movement is being begun, and if it is being begun, then the resolution immediately before the start is saved before the resolution of an image is decreased by 1 step”*).

**Regarding claim 2**, Yamamoto teaches that the resolution of the first image preview is higher than the resolution of the second image preview (see column 27 lines 55-67; *“In step S3650, it is determined whether the rotation or movement is being begun, and if it is being begun, then the resolution immediately before the start is saved before the resolution of an image is decreased by 1 step”*).

**Regarding claim 3**, Yamamoto teaches that the resolution of the first image preview used to display a representation is determined in accordance with a magnification of the at least one image (see Yamamoto column 29 lines 1-5; *"according to the embodiment described above, the size of a polygon, i.e., resolution, is changed in accordance with a partial shape of the object to be displayed, thereby preventing generating more polygons than necessary in an attempt to faithfully representing the shape"*, the display size of the image is the same as the magnification of the image).

**Regarding claim 4**, Yamamoto teaches that manipulating the representation of the at least one displayed image comprises displaying and moving the representation of at least one displayed image responsive to the manipulation smoothly and continuously (see Yamamoto column 27 lines 55-67; *"If the rotation or movement is continuing (J.noteq.0), then the program directly goes to step S3653 to decrease the current resolution by 1"*).

**Regarding claim 6**, Yamamoto teaches that the at least one displayed image is manipulated by a user interfacing with the graphical user interface (see Yamamoto column 27 lines 55-67; *"In step S3650, it is determined whether the rotation or movement is being begun, and if it is being begun, then the resolution immediately before the start is saved before the resolution of an image is decreased by 1 step"*).

**Regarding claim 9**, Yamamoto teaches prior to storing the first or second image previews, processing the at least one image to form the image previews (see Yamamoto column 6 lines 29-39; *"a storing means for storing a plurality of types of shape data with different resolutions for displaying an object"*).

**Regarding claim 10**, Yamamoto teaches that processing occurs when the at least one image is associated with an application program (see Yamamoto column 12 line 61 through column 13 line 13; *"The following describes the configuration of image processing system 120. CPU A 101 executes data processing, arithmetic operation, and data receiving by processing programs stored beforehand in memory A 102. Memory B 103 saves data to be processed. The window system 104 is the display system, which displays processes and results of processing"*).

**Regarding claim 11**, Yamamoto teaches that the stored image previews are transferred to an application program (see column 12 line 61 through column 13 line 13; *"The following describes the configuration of image processing system 120. CPU A 101 executes data processing, arithmetic operation, and data receiving by processing programs stored beforehand in memory A 102. Memory B 103 saves data to be processed. The window system 104 is the display system, which displays processes and results of processing"*).

**Regarding claim 12**, Yamamoto teaches that at least one of the first and second image previews comprises a full resolution version of the at least one image (see column 27 lines 35-44; *"First, the hierarchical adaptive polygon data is read from the memory 3302 of FIG. 33 (step S3610). Then, resolution I is set to an initial resolution (step S3620), and the adaptive polygon data of resolution I is displayed in a 3-D manner (step S3630). The initial resolution at this time is the maximum resolution (N-1)"*).

**Claims 13-16, 18, 21-23, and 25** recite a method having significantly the same limitations as the method of claims 1-4, 6, and 9-12, respectively. The only difference

between the claims is that claims 13-16, 18, 21-23, and 25 recite more than two sets of images. However, Yamamoto teaches storing more than two sets of images having different resolutions (see column 6 lines 29-39; *"a storing means for storing a plurality of types of shape data with different resolutions for displaying an object"*). Therefore, the claims are rejected under the same grounds.

**Regarding claim 24**, Yamamoto teaches that the selected second image preview data set depends on a speed at which the preview representation of displayed images is moved (see column 27 lines 55-67; *"In step S3650, it is determined whether the rotation or movement is being begun, and if it is being begun, then the resolution immediately before the start is saved before the resolution of an image is decreased by 1 step"*).

**Regarding claim 26**, Yamamoto teaches a method for displaying a representation of at least one image in an application program in a computer having a graphical user interface, comprising:

storing at least three or more image previews for each at least one image, wherein the image previews for each at least one image are all of differing resolutions and wherein an image preview comprises a single complete representation of its corresponding image (see Yamamoto column 6 lines 29-39; *"a storing means for storing a plurality of types of shape data with different resolutions for displaying an object"*);

selecting one of a plurality of magnification levels for the at least one image (see Yamamoto column 29 lines 1-5; *"according to the embodiment described above, the size of a polygon, i.e., resolution, is changed in accordance with a partial shape of the*

*object to be displayed, thereby preventing generating more polygons than necessary in an attempt to faithfully representing the shape*", the display size of the image is the same as the magnification of the image); and

querying one of the image previews in accordance with the selected magnification level to display the at least one image in the graphical user interface (see Yamamoto column 29 lines 1-5; *"according to the embodiment described above, the size of a polygon, i.e., resolution, is changed in accordance with a partial shape of the object to be displayed, thereby preventing generating more polygons than necessary in an attempt to faithfully representing the shape"*, the resolution of the images changes as the size of each displayed shape changes).

**Claims 29-31 and 34** recite a method having substantially the same limitations as the method of claims 21-23 and 25, respectively. Therefore, the claims are rejected under the same rationale.

**Regarding claim 32**, Yamamoto teaches that a number of the plurality of magnification levels equals a number of the plurality of image previews for each at least one image (see column 29 lines 1-5; *"according to the embodiment described above, the size of a polygon, i.e., resolution, is changed in accordance with a partial shape of the object to be displayed, thereby preventing generating more polygons than necessary in an attempt to faithfully representing the shape"*, there can be as many different resolution images as there can be the number of sizes to display a polygon).

**Regarding claim 33**, Yamamoto teaches that a number of the plurality of magnification levels is greater than a number of the plurality of image previews for each



at least one image (see column 29 lines 1-5; *"according to the embodiment described above, the size of a polygon, i.e., resolution, is changed in accordance with a partial shape of the object to be displayed, thereby preventing generating more polygons than necessary in an attempt to faithfully representing the shape"*, the number of different sizes a polygon can be displayed is greater than or equal to the number of different resolutions for the stored shapes).

**Claims 35 and 40-42** recite a method having substantially the same limitations as the method of claims 13 and 25. Therefore, the claims are rejected under the same rationale.

**Regarding claim 43**, Yamamoto teaches a computer-readable medium containing computer readable instructions stored thereon for causing an electronic computing device to perform the method of claim 1 (see Yamamoto column 23 lines 35-46, *"the apparatus may be a general-purpose computer (e.g., a personal computer or workstation), which supplies programs for implementing the processing described above"*).

**Regarding claim 72**, Yamamoto teaches that the resolution of the second image preview used to display a representation is determined in accordance with a manipulation affecting magnification of a currently displayed representation of the at least one image (see Yamamoto column 29 lines 16-23; *"the load on the display unit can be reduced by changing the resolution of polygon data according as the object is displayed small or large on the screen"*).

**Claim 73** recites a computer-readable medium having substantially the same limitations as the computer-readable medium of claim 43. Therefore, claim 73 is rejected under the same rationale.

**Claim 74** recites a computer-readable medium having substantially the same limitations as the computer-readable medium of claim 43. Therefore, claim 74 is rejected under the same rationale.

**Claim 75** recites a computer-readable medium having substantially the same limitations as the computer-readable medium of claim 43. Therefore, claim 75 is rejected under the same rationale.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 5 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yamamoto (5,862,252) *supra*, and Iwamura et al. (hereinafter Iwamura), United States Patent 5,602,564.

**Regarding claim 5**, Yamamoto teaches every limitation of claim 5 except that the act of manipulating comprises scrolling. Iwamura teaches a three-dimensional display application that comprises scrolling (see Iwamura column 4 lines 17-26; "*Scroll, enlargement/scale-down and rotation of the three-dimensional data can be made by*

*making access to the scroll bars, enlargement/scale-down selectors and rods added to the three-dimensional window, without the need of a direct access function to the data having a complicated three-dimensional shape and displayed inside the three-dimensional window").* It would have been obvious to one of ordinary skill in the art at the time the invention was made to provide scrolling as taught by Iwamura in the three-dimensional display application of Yamamoto to aid in the movement and rotation of the displayed objects.

**Claim 17** recites a method having significantly the same limitations as the method of claim 5. The only difference between claim 17 and claim 5 is that claim 17 recites more than two sets of images. However, Yamamoto teaches storing more than two sets of images having different resolutions (see column 6 lines 29-39; "*a storing means for storing a plurality of types of shape data with different resolutions for displaying an object*"). Therefore, claim 17 is rejected under the same grounds.

Claims 7, 8, 19, 20, 27, 28, and 36-39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yamamoto (5,862,252) *supra*, and Anderson, United States Patent 6,215,523.

**Regarding claim 7**, Yamamoto teaches every limitation of claim 7 except that at least one of the first or second image previews is in a memory mapped format. Anderson teaches scrolling of memory mapped images (see Anderson column 14 lines 26-32; "*a memory map of the DRAM is shown illustrating the reallocation of the input buffers as speculation buffers in accordance with the present invention. The DRAM 346*

*is shown as including (N) speculation buffers 850, which are used by a background process to speculatively decompress image data corresponding to images the user may potentially scroll to").* It would have been obvious to one of ordinary skill in the art at the time the invention was made to store the images of Yamamoto in a memory mapped format as taught by Anderson as a design choice for the efficient storage and processing of the images.

**Regarding claim 8,** Yamamoto/Anderson teaches that at least one of the first or second image previews is uncompressed (see Anderson column 7 lines 52-60; *"The thumbnail image 606 is a small, uncompressed low-resolution version of the image"*).

**Claims 19 and 20** recite a method having significantly the same limitations as the method of claims 7 and 8, respectively. The only difference between the claims is that claims 19 and 20 recite more than two sets of images. However, Yamamoto teaches storing more than two sets of images having different resolutions (see column 6 lines 29-39; *"a storing means for storing a plurality of types of shape data with different resolutions for displaying an object"*). Therefore, the claims are rejected under the same grounds.

**Claims 27 and 28** recite a method having substantially the same limitations as the method of claims 19 and 20, respectively. Therefore, the claims are rejected under the same rationale.

**Claims 36-39** recite a method having substantially the same limitations as the method of claims 19 and 20. Therefore, the claims are rejected under the same rationale.

***Response to Arguments***

Applicant asserts that Yamamoto's "shape data" cannot anticipate the claimed "image preview" because the "shape data" is not a single complete graphical representation of an image. Examiner respectfully disagrees.

Yamamoto teaches generating and displaying a 3D object made up of a plurality of shape images (see Yamamoto Abstract). Each of the shape images are stored at a plurality of different resolutions (see Yamamoto column 24 lines 22-29). A moving image does not need to be displayed in high resolution because the user cannot discern as much detail from a moving image (see Yamamoto column 29 lines 6-15). Therefore, Yamamoto teaches that when the 3D object is moved on the display, lower resolution shape images are used to generate the image of the 3D object (see Yamamoto column 24 lines 52-67). Using a lower resolution version of an image when the image is being moved achieves smoother movement of the image representation because it creates less load on the system (see Yamamoto column 29 lines 24-30).

Applicant is equating the "3-D object" of Yamamoto to the "representation of at least one image" of the instant application. However, the "3-D object" of Yamamoto is generated using a plurality of shape images. Examiner is interpreting each shape image (not the entire 3D model) as being a "representation of at least one image". In Yamamoto, each shape image has a full resolution version (a "master image", using terminology of the instant application) which is used to produce and store a plurality of lower resolution versions (see Yamamoto column 25 lines 54-67). Thus, a full

resolution version of a shape image is being interpreted as equivalent to the "representation of at least one image" of the instant claims. The lower resolution versions of the shape image are equated to the "image previews" of the instant application.

Applicant further makes the argument that a shape image in Yamamoto is not a "single complete graphical representation of an image". However, this can reasonably be interpreted very broadly because the instant disclosure does not define what comprises a "single complete graphical representation". A shape image in Yamamoto is a "single" graphical representation. It is also a "complete" graphical representation of a shape. "Complete" is a relative term known in its broadest sense to mean the entirety of something. In this case, the "something" is "an image", and a shape image in Yamamoto is no doubt "an image".

An "image" is a very broad term in the art that can be interpreted as anything that is displayed on a display device. While the "3D object" display of Yamamoto can be interpreted as being an "image", a shape used to build the 3D object can also be interpreted as an "image". No limitation recited in the instant claims and no explicit definition disclosed in the instant specification narrows the definition of "image" to preclude the interpretations set forth in the rejections. Therefore, the previous interpretations and rejections are maintained.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen Alvesteffer whose telephone number is (571)270-1295. The examiner can normally be reached on Monday-Friday 9:30AM-6:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Bashore can be reached on (571)272-4088. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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